

## To Apply for an Account

- Please sign CFTC Risk Disclosure Statement (FORM 1) on page 3
- Please complete IRS required information and sign (FORM W-9 or FORM W-8BEN) on pages 7-8
- Vision recommends that you sign the Consent to Electronic Delivery of Vision's Confirmations and Statements (FORM 3) on page 9, but you are not required to do so
- Please place your initials on the lines before paragraphs 30-33 of the Customer Agreement (FORM 4) and sign the Customer Agreement, on page 14
- Please sign (if applicable) the Additional Risk Disclosure (FORM 6) on page 16
- Vision recommends that you sign the Arbitration Agreement (FORM 8) on page 20, but you are not required to do so
- Please sign (if applicable) Acknowledgement of Risk Disclosure Statement for Security Futures Products (FORM 9) on page 21
- Please sign (if applicable) the Hedge Account Representation Letter (FORM 11) on page 23
- Please send to Vision a copy of your currently valid passport, drivers license or other government issued document

## Accounts Introduced by Independent IBs

- Please sign FORM 5 on page 15

## Online Trading

- Please sign the Online Services Agreement (FORM 7) on page 19

## To Transfer an Existing Account

- Please complete and sign FORM 10 on page 22

**Make a copy for your records. Please return booklet to Vision Financial Markets LLC.**

## Funding Your Account

To begin trading, you may fund your account in two ways:

### 1. Checks (Please make checks payable to "VISION FINANCIAL MARKETS LLC")

Generally, a transfer from another brokerage firm will be considered as cleared funds. All other instruments, including bank/cashier checks/personal checks, may require up to ten (10) days for bank clearance. Any checks made payable to an Introducing Broker WILL NOT be accepted.

**DO NOT SEND CHECKS DIRECTLY TO HARRIS BANK. PLEASE SEND THEM TO YOUR BROKER OR VISION'S NEW YORK OFFICE (ONE WHITEHALL STREET, 15TH FLOOR, NEW YORK, NY 10004).**

### 2. Bank wires (the recommended method)

Vision considers wires as cleared funds and allows you to trade immediately upon receipt of the funds.

#### You May Wire US Dollar Funds To:

**Harris N.A.  
111 West Monroe  
Chicago, IL 60603  
ABA number: 071000288  
For: Vision Financial Markets LLC  
Customer Segregated Funds Account  
Number: 438-240-4  
Further Credit to the Account  
of \_\_\_\_\_**

#### You May Wire Euro Funds To:

**Harris N.A.  
Chicago, Illinois 60603, USA  
Swift Code: HATRUS44  
For: Vision Financial Markets LLC  
Account Number: 300894382420  
Further Credit to the Account  
of \_\_\_\_\_**

#### You May Wire British Pound Funds To:

**Harris N.A.  
Chicago, Illinois 60603, USA  
Swift Code: HATRUS44  
For: Vision Financial Markets LLC  
Account Number: 777554382420  
Further Credit to the Account  
of \_\_\_\_\_**

For wire instructions on other currencies, please send an e-mail to: [treasury@vfm.com](mailto:treasury@vfm.com)