



## Comforting Reasons to Maintain Funds with Vision

- Vision Financial Markets LLC (“Vision”) is a clearing member of the Chicago Mercantile Exchange (CME) and the Chicago Board of Trade (“CBOT”), collectively known since their merger as the CME Group. The CME Group states in their financial safeguard brochure, “the CME clearing financial safeguard system provides unparalleled safeguards for the protection and benefits of all participants in markets cleared by CME clearing.” In the 161 year history of CME and its predecessor organization, there has never been a failure of a clearing member resulting in a loss of customer funds. Equally important, now that Vision is a joint clearing member of the CME and CBOT, Vision enjoys clearing status at all of the following:
  1. CME Clearing – this is the world’s largest derivatives clearing organization.
  2. The Options Clearing Corporation – “OCC” is the largest clearing organization in the world for options and was the first clearing house to receive an “AAA” credit rating from Standard & Poor’s Corporation.
  3. Depository Trust & Clearing Corporation – “DTCC” provides custody and asset servicing for 3.5 million securities issues from the United States and 110 other countries and territories, valued at \$40 trillion.
- Vision has grown to a new record of approximately \$450 million in customer margin deposits in our futures business.
- Our total capital, including our unused bank lines, totals over \$50 million.
- Our futures trading volume is strong and Vision’s capital remains invested in only US Treasuries or Agencies, “AAA” rated institutional money market funds, and AA rated (or higher) short term corporate debt. We have never invested in mortgage backed securities of any kind, have never engaged in any risky proprietary trading or ever leveraged our balance sheet. Our income is derived from commissions, fees and interest income and we continue to remain in a profitable position.
- Our banking relationships, which are primarily with US Bank and Bank of Montreal/Harris Bank, remain in good standing. In fact, on Vision’s new securities clearing initiative, US Bank just recently increased our secured line of credit for margin financing from \$10 million to \$30 million. However, we continue to have this line remain unused.

Vision’s success over the past approximately twenty years has come from focusing on providing our valued clients innovative, quality products and services, without engaging in risky proprietary trading, mortgage backed securities of any kind, or for that matter investing in anything that is not directly related to the growth of our business. We have no surprises, leverage or anything to hide in our balance sheet and financial statement. Our clients can take comfort we remain committed to the conservative business practices which have enabled our growth and resulting success.

Vision is a diversified group of affiliated companies that offer commodities brokerage and clearing services, securities brokerage and clearing, asset management, Commodity Trading Advisor selection and private investment funds. Vision Financial Markets is a registered broker/dealer and Futures Commission Merchant, member CME, CBOT, NFA, FINRA, SIPC and CBOE.

Past performance is no guarantee of future results. Facts and figures provided above current as of July 2008.